Witold Jerzy Henisz

The Wharton School 3107 Steinberg Hall-Dietrich Hall Philadelphia, PA 19104-6370

Fax: (215) 898-0401 E-Mail: henisz@wharton.upenn.edu/henisz/

Ph: (215) 898-0788

Dual Citizenship - United States of America & Republic of Poland

I. POSITIONS HELD

Vice-Dean and Faculty Director, ESG Initiative, The Wharton School (July 1, 2022 – present)

Deloitte & Touche Professor of Management in Honor of Russell E. Palmer, former Managing Partner, University of Pennsylvania, The Wharton School (July 1, 2012 - present)

Deloitte & Touche Associate Professor of Management in Honor of Russell E. Palmer, former Managing Partner, University of Pennsylvania, The Wharton School (2011-2012)

Associate Professor of Management, University of Pennsylvania, The Wharton School (2005-2011)

UPS Visiting Associate Professor of Civil & Environmental Engineering, Stanford University (August 2008 – July 2009)

Assistant Professor of Management, University of Pennsylvania, The Wharton School (1998-2005)

II. EDUCATIONAL BACKGROUND

A. Degrees

University of California at Berkeley, Walter A. Haas School of Business Ph.D. in Business Administration: May, 1998

Johns Hopkins University, Paul H. Nitze School of Advanced International Studies (SAIS) M.A., International Relations (International Economics and West European Studies): May 1993

Stanford University

B.A., Economics (with honors) and Political Science: May 1991

B. Dissertation

Title: "The Institutional Environment for International Investment: Safeguarding against state-sector opportunism and the opportunistic use of the state."

Committee: Oliver E. Williamson (chair), Pablo T. Spiller, David J. Teece and Henry E. Brady.

II. RESEARCH/PUBLICATIONS

A. Publications

- 1. Articles and Research Notes Published or Forthcoming in Refereed Journals
 - [#1] Nartey, Lite, Sinziana Dorobantu and Witold J. Henisz (2023) "Reciprocity in Firm Stakeholder Dialogue: Timeliness, Valence, Richness, And Topicality" <u>Journal of Business Ethics</u> Forthcoming
 - [#2] Ganson, Brian, Tony He and Witold Henisz. (2022) "'Us' and 'Them': Firm Strategy, Horizontal Inequalities, and Society's Capacity to Address Its Grand Challenges" Global Strategy Journal 12(3):520 542.
 - [#3] Ganson, Brian, Tony He and Witold Henisz (2022) "Business and Peace: The Impact Of Firm-Stakeholder Relational Strategies On Conflict Risk" <u>Academy of Management Review</u> **47**(2): 259-281.
 - [#4] Odziemkowska, Katarzyna and Witold J. Henisz (2021) "Web of Influence: National Stakeholder Networks and Corporate Social Performance" <u>Organization Science</u> **32**(1): 233-255.
 - [#5] Henisz, Witold J. and Edward Mansfield (2019) "The Political Economy of Financial Reform: *De Jure* Liberalization vs. *De Facto Implementation*" International Studies Quarterly **63**(3): 589-602.
 - [#6] Nartey, Lite, Witold J. Henisz and Sinziana Dorobantu (2018) "Status Climbing Versus Bridging: Multinational Stakeholder Engagement Strategies" (previously entitled "What Brings Us Together Tears Us Apart: The Inherent Tension in Stakeholder Engagement" and "Pipes and Prisms of Political Influence") Strategy Science 3(2):367-392 [lead article].
 - Winner 2019 Industry Studies Association Ralph Gomory Best Industry Studies Paper Award
 - [#7] Sinziana Dorobantu, Witold J. Henisz and Lite Nartey (2017) "Not All Sparks Light a Fire: Stakeholder and Shareholder Reactions to Critical Events in Contested Markets" <u>Administrative Science Quarterly</u> 62(3):561-597
 - [#8] Henisz, Witold J. (2016) "The Dynamic Capability of Corporate Diplomacy" Global Strategy Journal **6**(3):183-196.
 - [#9] Henisz, Witold J., Sinziana Dorobantu and Lite Nartey (2014) "Spinning Gold: The Financial and Operational Returns to External Stakeholder Engagement" <u>Strategic Management Journal</u> **35**(12):1727-1748 [lead article].
 - [#10] Henisz, Witold J. (2013) "Preferences, Structure and Influence: The Engineering of Consent" Global Strategy Journal **3**(4):338-359.
 - [#11] Henisz, Witold J. and Bennet A. Zelner. (2012) Strategy and Competition in the Market and Non-Market Arena. <u>Academy of Management Perspectives</u> **26**(3):40-51.

[#12] Henisz, Witold J., Raymond E. Levitt and W. Richard Scott (2012) "Toward a Unified Theory of Project Governance: Economic, Sociological and Psychological Supports for Relational Contracting" <u>Engineering Project Organization Journal</u>. **2**(1):37-56.

- [#13] Jandhyala, Srividya, Henisz, Witold J. and Mansfield Edward (2011) "Three Waves of BITs: The Global Diffusion of Foreign Investment Policy" <u>Journal of Conflict Resolution</u> **55**(6):1045-1071.
- [#14] Henisz, Witold J. (2011) "Leveraging the Financial Crisis to Fulfill the Promise of Progressive Management" <u>Academy of Management Learning & Education</u> **10**(2):289-321.
- [#15] Zelner, Bennet A. Witold J. Henisz and Guy L.F. Holburn. (2009), "Contentious Implementation and Retrenchment in Neoliberal Policy Reform: The Global Electric Power Industry, 1989 2001" <u>Administrative Science Quarterly</u> **54**(3):379-412 [lead article].
- [#16] Henisz, Witold J. and Edward D. Mansfield (2006), "Votes and Vetoes: The Political Determinants of Commercial Openness." <u>International Studies Quarterly</u> **50**(1):189-211.
- [#17] Henisz, Witold J. and Bennet A. Zelner (2006), "Interest Groups, Veto Points and Electricity Infrastructure Deployment", <u>International Organization</u> **60**(1): 263-286.
- [#18] Henisz, Witold J., Bennet A. Zelner and Mauro F. Guillén (2005), "The Worldwide Diffusion of Market-Oriented Infrastructure Reform" <u>American Sociological Review</u> **70**(6): 871-897 [lead article].
- [#19] Henisz, Witold J. and Bennet A. Zelner (2005) "Legitimacy, Interest Group Pressures and Change in Emergent Institutions: The Case of Foreign Investors and Host Country Governments", Academy of Management Review **30**(2): 361-382.
- [#20] Henisz, Witold J. and Benner A. Zelner, (2004) "Explicating Political Hazards: A Transaction Cost Politics Approach", Industrial and Corporate Change **13**(6): 901-915.
- [#21] Henisz, Witold J. and Andrew Delios (2004) "Information or Influence? The Benefits of Experience for Managing Political Uncertainty", <u>Strategic Organization</u> **2**(4): 389-422.
- [#22] Henisz, Witold J. and Jeffrey T. Macher (2004) "Firm- and Country-Level Tradeoffs and Contingencies in the Evaluation of Foreign Investment: The Semiconductor Industry, 1994-2002", Organization Science **15**(5): 537-554.
- [#23] Henisz, Witold J. (2004) "The Political Economy of Trans-Pacific Business Linkages", <u>Business and Politics</u> **6**(1): Article 2.
- [#24] Henisz, Witold J., (2004) "Political Institutions and Policy Volatility", <u>Economics and Politics</u> **16**(1): 1-27 [lead article].
- [#25] Delios, Andrew and Witold J. Henisz, (2003) "Political Hazards, Experience and Sequential

Entry Strategies: The International Expansion of Japanese Firms, 1980-1998", <u>Strategic Management Journal</u> **24**(11): 1153-1164.

- [#26] Henisz, Witold and Bennet A. Zelner (2003). "The Strategic Organization of Political Risks and Opportunities." Strategic Organization 1(4): 451-460.
- [#27] Delios, Andrew and Witold J. Henisz, (2003) "Policy Uncertainty and the Sequence of Entry by Japanese Firms, 1980-1998", <u>Journal of International Business Studies</u> **34**(3): 227-241 [lead article].
- [#28] Henisz, Witold J., (2003) "The Power of the Buckley and Casson Thesis: The Ability to Manage Institutional Idiosyncrasies", Journal of International Business Studies **34**(2): 173-184.
- [#29] Henisz, Witold J. (2002) "The Institutional Environment for Infrastructure Investment", <u>Industrial and Corporate Change</u> **11**(2):355-389.
- [#30] Henisz, Witold J. and Andrew Delios (2001) "Uncertainty, Imitation and Plant Location: Japanese Multinational Corporations, 1990-96", <u>Administrative Science Quarterly</u>, **46**(3):443-475.
- [#31] Henisz, Witold J. and Bennet A. Zelner (2001) "The Institutional Environment for Telecommunications Investment" <u>Journal of Economics & Management Strategy</u>, **10**(1):123-148. Reprinted in: Henisz, Witold J. (2002) <u>Politics and International Investment: Measuring Risk and Protecting Profits</u> (London: Edward Elgar Publishers Ltd.)
- [#32] Henisz, Witold J. (2000) "The Institutional Environment for Multinational Investment", Journal of Law, Economics and Organization, 16(2):334-364.

 Reprinted in: Henisz, Witold J. (2002) Politics and International Investment: Measuring Risk and Protecting Profits (London: Edward Elgar Publishers Ltd.)
- [#33] Delios, Andrew and Henisz, Witold J. (2000) "Japanese Firm's Investment Strategies in Emerging Economies", <u>Academy of Management Journal</u>, **43**(3):305-323.

 Reprinted in: Beamish, Paul W., Delios Andew and Makino, Shige (Eds.) (2001) <u>Japanese Subsidiaries in the New Global Economy</u>. Northampton, MA: Edward Elgar.
- [#34] Henisz, Witold J. (2000) "The Institutional Environment for Economic Growth", Economics and Politics, **12**(1):1-31 [lead article].
 - Reprinted in: Henisz, Witold J. (2002) <u>Politics and International Investment: Measuring Risk and Protecting Profits</u> (London: Edward Elgar Publishers Ltd.)
- [#35] Henisz, Witold J. and Oliver E. Williamson (1999) "Comparative Economic Organization -- Within and Between Countries", <u>Business and Politics</u>, **1**(3):261-276 [lead article]. Reprinted in: Henisz, Witold J. (2002) <u>Politics and International Investment: Measuring Risk and Protecting Profits</u> (London: Edward Elgar Publishers Ltd.)
- [#36] Henisz, Witold J. (1999) "'The Institutions and Governance of Economic Reform': Theoretical

Extensions and Applications", Public Management 1(3):349-371.

[#37] Bergara, Mario, Witold J. Henisz and Pablo Spiller, (1997). "Political Institutions and Electric Utility Investment: A Cross-national analysis", <u>California Management Review</u>, **40**(2):18-35.

2. Other articles and book chapters

[#38] Fromer Babcock, Ariel, Nathan Barrymore, Christopher Bruno, Allen He, Witold J. Henisz, Rachelle C. Sampson, and Xuchong Shao. (2022) "Walking the Talk: Valuing a Multi-Stakeholder Strategy." Focusing Capital on the Long-Term and the Wharton School. Available at SSRN 4023510.

[#39] Engine No 1 and Witold J. Henisz (2021) "A New Way of Seeing Value: Introducing the Engine No 1 Total Value Framework" Engine No 1. https://engine1.com/files/Engine_No_1_Total_Value_Framework.pdf

[#40] Henisz, Witold, Tim Koller & Robin Nuttal (2019) "Five Ways that ESG Creates Value" *McKinsey Quarterly* November.

[#41] Henisz, Witold J. and James McGlinch (2019) "ESG, Material Credit Events and Credit Risk" *Journal of Applied Corporate Finance* **31**(2): 105-117.

[#42] Aguilera, Ruth, Witold J. Henisz, Joanne E. Oxley and Myles Shaver (2019) "Issue Introduction: International Strategy in an Era of Global Flux" *Strategy Science* **4**(2): 61-69.

[#43] Henisz, Witold J. (2016) "The Costs and Benefits of Calculating the Net Present Value of Corporate Diplomacy" *Field Actions Science Reports* **14**(Special):82-87.

[#44] Henisz, Witold J. (2016) "12 Traps on the Path to Corporate Diplomacy" *IESE Insight* 12 Traps on the Path to Corporate Diplomacy" *IESE Insight* 28:29-36.

[#45] Boutilier, Robert A., Witold J. Henisz and Bennet A. Zelner (2015) "A Systems Approach to Stakeholder Management" in Neal, Zachary A. (editor) *The Routledge Handbook of Allied Systems Science* Taylor & Francis Publishing Group.

[#46] Zelner, Bennet A. and Witold J. Henisz (2014) "Non-market Strategy" in *The Palgrave Encyclopedia of Strategic Management*, Teece, David J. and Mie Augier (eds.) Palgrave Macmillan.

[#47] Henisz, Witold J. and Bennet A. Zelner (2014) "The Cycling of Power Between Private and Public Sectors: Electricity Generation in Argentina, Brazil and Chile" invited chapter for Glachant, Jean-Michel and Brosseau, Eric (eds.) *The Manufacturing of Markets: Legal, Political and Economic Dynamics* Cambridge University Press.

[#48] Henisz, Witold J. (2011) "Mitigating Policy Risk in Global Projects" in Scott, W. Richard, Raymond E. Levitt and Ryan J. Orr (eds.) *Global Projects: Institutional and Political Challenges* (New York: Cambridge University Press) pp. 353-376.

[#49] Henisz, Witold J., Edward D. Mansfield and Mary Ann Von Glinow (2010) "Conflict, Security and Political Risk: International Business in Changing Times (Editors Introduction to the Special Issue)" <u>Journal of International Business Studies</u> **41**(5):759-764.

- [#50] Henisz, Witold J. and Bennet A. Zelner (2010) "The Hidden Risks in Emerging Markets" Harvard Business Review April: 88-95.
- [#51] Cheng, Joseph, Witold J. Henisz, Kendall Roth and Anand Swaminathan. (2009) "From the Editors Advancing Interdisciplinary Research in the Field of International Business: Prospects, Issues and Challenges" <u>Journal of International Business Studies</u> **40**(7): 1070-74.
- [#52] Henisz, Witold J. (2009) "Network-Based Strategies and Competencies for Political and Social Risk Management" in Paul R. Kleindorfer and Yoram Wind (eds), *Network-based Strategies and Competencies*, Upper Saddle River, NJ: Wharton School Publishing.
- [#53] Henisz, Witold J. (2009) "Beyond the Economic Institutions of Strategy: Strategic Responses to Institutional Variation" in Jackson Nickerson and Brian Silverman (eds), *Economic Institutions of Strategy* Vol. 22 of <u>Advances in Strategic Management</u> New York: JAI Press.
- [#54] Henisz, Witold J. and Anand Swaminathan (2008) "Institutions and International Business (Editors Introduction to the Special Issue)" Journal of International Business Studies **39**:537-539.
- [#55] Henisz, Witold J. (2007). "Research Programs in International Business" <u>Progress in International Business Research</u> 1(1): 15-25.
- [#56] Henisz, Witold J. (2006). "Governance Issues in Public Private Partnerships" (*An Invited Editorial Introduction to a Special Issue*) International Journal of Project Management 24: 537-538.
- [#57] Henisz, Witold J. (2004). "The Institutional Environment for International Business" In Peter J. Buckley (Ed.) What is International Business? New York NY: Palgrave pp. 85-109.
- [#58] Henisz, Witold J. and Bennet A. Zelner (2004). "Political Risk Management: A Strategic Perspective" In Theodore Moran (Ed.) <u>International Political Risk Management: The Brave New</u> World. Washington D.C.: The World Bank Group pp. 154-170.
- [#59] Henisz, Witold J. and Jonathan Story (2003). "Corporate Risk Assessment and Business Strategy: A Prime Task for Senior Management." In Cornelius, Peter K. and Bruce Kogut, (Eds.), Corporate Governance and Capital Flows in a Global Economy. New York: Oxford University Press. pp. 217-238.
- [#60] Henisz, Witold J. and Andrew Delios. (2002). "Learning about the Institutional Environment." In Paul Ingram and Brian Silverman, (Eds.), <u>The New Institutionalism in Strategic Management</u>. Vol. 19 of Advances in Strategic Management New York: JAI Press pp. 339-372.
- [#61] Henisz, Witold J. and Bennet A. Zelner (2001). "The Political Economy of Private Electricity

Provision in Southeast Asia", <u>East Asian Economic Perspectives</u> **15**(1):10-36.

3. Books

[#62] Henisz, Witold J. (2014) <u>Corporate Diplomacy: Engaging Stakeholders Across the Globe</u> (Sheffield: Greenleaf Publishing)

[#63] Henisz, Witold J. (2002) <u>Politics and International Investment: Measuring Risk and Protecting Profits</u> (London: Edward Elgar Publishers Ltd.)

Reviewed by:

Alan M. Rugman (2003), <u>Journal of International Business Studies</u> **34**(2): 223-225. Jan Kubicek (2002), Politicka Ekonomie **1**(1):131-133.

B. Research in Progress

1. Manuscripts Conditionally Accepted for Publication

[#64] Dorobantu, Sinziana, Lite Nartey and Witold Henisz "Firm-Stakeholder Dialogue and the Evolution of Stakeholder Evaluations in Different Informational Environments"

[#65] Henisz, Witold J. "The Value of Organizational Purpose"

2. Manuscripts Under Second Revision After a Second Invitation to Revise and Resubmit

[#66] Chuah, Kevin, Witold Henisz and James McGlinch "A Big Bluff? Does Bluffing or Impact Explain Success in a Moral Market?"

3. Manuscripts Under Second Review After Resubmission

[#67] Chuah, Kevin, Mark DesJardine, Maria Goronova and Witold J. Henisz "Shareholder Activism: From a Disciplinary-Based Process to a Multidisciplinary System"

4. Manuscripts Under Revision After an Invitation to Revise and Resubmit

[#68] Henisz, Witold, Christopher Bruno, Anastasia Gracheva and Abdurakhim Rakhimov "The Material Impacts of Political Risk: A Cross-Functional and Multi-Disciplinary Review"

5. Manuscripts Under First Revision After an Invitation to Resubmit

[#69] McGlinch, James and Witold Henisz "Reexamining the Win-Win: Relational Capital, Stakeholder Issue Salience, and the Contingent Benefits of Value Based Environmental, Social and Governance (ESG) Strategies"

6. Manuscripts Under First Review

[#70] Bruno, Christopher and Witold J. Henisz "Environmental, Social and Governance (ESG)

Factors and Municipal Bond Yields"

[#71] Jamison, Anne and Witold Henisz "Economic Anxiety, Cultural Backlash and Populism: Cross-National Variation in a Recursive Ideational System"

7. Working Papers

[#72] Gavin, Michael, Marco Tulio Pacheco Coelho, James McGlinch, Leandro Simões Pongeluppe and Witold J. Henisz Pathways to Materiality: Environmental, Social and Governance (ESG) Factors and Financial Performance

[#73] Pacheco, Rachel and Witold J. Henisz "Developing Conflict: Elite Bias in the Stakeholder Networks of Global Projects

[#74] Henisz, Witold J. "Business, Inclusive Stakeholder Relations and Conflict"

[#75] Jamison, Anne, Brian Ganson and Witold J. Henisz "The Political Economy of Business and Conflict"

[#76] Leandro Simões Pongeluppe, James McGlinch, Michael Gavin and Witold J. Henisz How Much Does Industry Matter for Environmental, Social and Governance (ESG) Performance, Really?

[#77] Jamison, Anne Spencer, Witold J. Henisz, Anastasia Gracheva and Xuchong Shao "The Cost of Political Risk Events: Variation by Risk Class, Exposure and Asset Specificity"

[#78] Jamison, Anne Spencer, Claudia Liuzza, Witold J. Henisz, and Lynn Meskell "Who Protests Whom in Challenges to the Liberal International Order? Liberalization, Economic Deprivation and the Targeting of Political and Economic Actors at World Heritage Sites"

[#79] Ferry, Lauren, Anne Spencer Jamison, Anastasia Gracheva and Witold Henisz "Sustainability, Political Risk and Sovereign Credit Risk: Pricing High Frequency Environmental, Social and Governance News"

[#80] Anne Spencer Jamison, Witold J Henisz and Doron Tadmor "Indigenous Land Claims and Foreign Direct Investment: Evidence of Conflict Impacts from Geo-Spatial Media Event Data"

C. Teaching Materials

[#81] Henisz, Witold J. and Bennet A. Zelner (2006) "PowerTrip or Powerplay: AES-Telasi (A)" The Wharton School, University of Pennsylvania Case 3.

[#82] Henisz, Witold J. and Bennet A. Zelner (2006) "PowerTrip or Powerplay: AES-Telasi (B)" The Wharton School, University of Pennsylvania Case 4.

[#83] Henisz, Witold J. and Bennet A. Zelner (2006) "PowerTrip or Powerplay: AES-Telasi (C)" The Wharton School, University of Pennsylvania Case 5.

[#84] Henisz, Witold J. and Bennet A. Zelner (2006) "Teaching Note: PowerTrip or Powerplay: AES-Telasi (A), (B), & (C)" The Wharton School, University of Pennsylvania.

- [#85] Henisz, Witold J. (2007) "Thailand Petrochemical Industry: Negotiating Debt After the East Asian Crisis (A)" <u>The Wharton School, University of Pennsylvania</u> Case 1.
- [#86] Henisz, Witold J. (2007) "Thailand Petrochemical Industry: Negotiating Debt After the East Asian Crisis (B)" The Wharton School, University of Pennsylvania Case 2.
- [#87] Henisz, Witold J. (2009) "Rosia Montana: Political and Social Risk Management in the Land of Dracula (A)" The Wharton School, University of Pennsylvania Case 26.
- [#88] Henisz, Witold J. "Rosia Montana: Political and Social Risk Management in the Land of Dracula (B)" The Wharton School, University of Pennsylvania Case 27.
- [#89] Henisz, Witold J. "Rosia Montana: Political and Social Risk Management in the Land of Dracula (C)" The Wharton School, University of Pennsylvania Case 28.
- [#90] Henisz, Witold J. and Tim Gray "Calculating the Net Present Value of Sustainability at Newmont's Ahafo Gold Mine in Ghana (A)" <u>The Wharton School, University of Pennsylvania</u> Case 92.
- [#91] Henisz, Witold J. and Tim Gray "Calculating the Net Present Value of Sustainability at Newmont's Ahafo Gold Mine in Ghana (B)" <u>The Wharton School, University of Pennsylvania</u> Case 93.
- [#92] Henisz, Witold J. and Tim Gray "Calculating the Net Present Value of Sustainability at Newmont's Ahafo Gold Mine in Ghana (Teaching Note)"
- [#93] Henisz, Witold J. and Bennet A. Zelner (2016) "Designing and Implementing an Integrated Project Management System at Minas Rio (A)"
- [#94] Henisz, Witold J. and Bennet A. Zelner (2016) "Designing and Implementing an Integrated Project Management System at Minas Rio (B)"
- [#95] Henisz, Witold J and Regina Abrami (2016) "Choppies' Waters: Retailing in Botswana and Sub-Saharan Africa"
- [#96] Henisz, Witold J (2017) "Abraaj Group's Integration of ESG Policies into the Turnaround of Karachi Electric (A)"
- [#97] Henisz, Witold J (2017) "Abraaj Group's Integration of ESG Policies into the Turnaround of Karachi Electric (B)"
- [#98] Henisz, Witold J., Rachelle Sampson and Tim Gray (2021) "Parnassus Investments and Wells

Fargo & Co.: Balancing Morals, Metrics and Materiality"

[#99] Henisz, Witold J and Tim Gray (2021) "Striking a Balance between Valuation and Values: Investment Managers Weigh whether Investments in a Major Oil Company and an Ethanol Producer Serve their Dual Mandate"

[#100] Henisz, Witold and Tim Gray (2021) "Engine No. 1: An ESG Upstart Challenges Fund-Industry Assumptions about Organizing an ETF—and Everyone's Assumptions about Proxy Fights"

[#101] Henisz, Witold and Tim Gray (2022) "Glenmede Investment Management: When Does an ESG Integration Become Thorough Enough to Avoid Accusations of Greenwashing?"

D. Invited lectures and Conference Presentations

Jamison, Anne and Witold Henisz "Economic Anxiety, Cultural Backlash and Populism: Cross-National Variation in a Recursive Ideational System"

1) Vienna University, January 2022

McGlinch, James and Witold Henisz "Reexamining the Win-Win: Relational Capital, Stakeholder Issue Salience, and the Contingent Benefits of Value Based Environmental, Social and Governance (ESG) Strategies"

- 1) Washington University St. Louis, November 2022
- 2) Penn State University, October 2021

Bruno, Christopher and Witold J. Henisz "Environmental, Social and Governance (ESG) Factors and Municipal Bond Yields"

1) United Nations Principles for Responsible Investment Conference, September 2021

Pacheco, Rachel and Witold J. Henisz "Developing Conflict: Elite Bias in the Stakeholder Networks of Global Projects

1) University of Leeds, May 2021

Henisz, Witold J. "Business, Inclusive Stakeholder Relations and Conflict"

- 1) Stanford University, October 2019
- 2) HEC, May 2019
- 3) Columbia University, March 2019
- 4) University of Bocconi, January 2019
- 5) University of Virginia, September 2018
- 6) Strategic Management Society, September 2018
- 7) Academy of Management, August 2018
- 8) INSEAD, April 2018
- 9) ITAM, Mexico City February 2018
- 10) International Business & Institutions, Columbia SC February 2018
- 11) George Washington University, February 2018
- 12) Rutgers University, February 2018
- 13) University of Minnesota, January 2018

- 14) The Wharton School, December 2017
- 15) University of Queensland, Brisbane Australia, June 2017
- 16) University New South Wales, Sydney Australia, June 2017
- 17) Griffith University, Brisbane Australia, May 2017

Odziemkowska, Katarzyna and Witold J. Henisz, "Web of Influence: National Stakeholder Networks and Corporate Social Performance"

- 2) University of Virginia, August 2019
- 3) ESSEC Paris, May 2016
- 1) International Political Economy Society Annual Meeting, Stanford CA, November 2015

Henisz, Witold J. and Edward Mansfield "The Political Economy of Financial Reform: *De Jure* Liberalization vs. *De Facto Implementation*"

1) International Political Economy Society Annual Meeting, Stanford CA, November 2015

Dorobantu, Sinziana, Lite Nartey and Witold Henisz "Proactive Stakeholder Engagement and Stakeholder Preferences for Firm Investments"

- 1) Fuqua School of Business, March 2015
- 2) George Washington University, September 2014

Henisz, Witold J. (2014) Corporate Diplomacy: Building Reputations and Relationships with External Stakeholders (Sheffield: Greenleaf Publishing)

- 17) Enterprise Risk Management Conference, October 2015
- 16) Public Affairs Council, Washington DC May 2015
- 15) Conference Board Sustainability Council, Sanibel Island FL, January 2015
- 14) Sustainable Brands Annual Conference, London UK, November 2014
- 13) Wharton Alumni Club, London UK, November 2014
- 12) Globescan webinar, October 2014
- 11) Wharton Alumni Club, Berlin Germany, October 2014
- 10) Corporate Social Responsibility Annual Conference Humboldt University, Berlin Germany, October 2014
- 9) Wharton Alumni Club, New York, NY, October 2014
- 8) Johns Hopkins School for Advanced International Studies, Washington DC, September 2014
- 7) Canadian-South African Chamber of Commerce, Toronto ON, September 2014
- 6) Rotman School of Management, Toronto, ON, September 2014
- 5) Ryerson University, Toronto, ON, September 2014
- 4) Responsible Extractives Annual Conference, Ethical Corporation, London UK, June 2014
- 3) Wharton Alumni Club, Washington DC, June 2014
- 2) Reputation Institute Annual Conference, Miami FL, June 2014
- 1) Sustainability Exchange Annual Conference, International Finance Corporation, Washington DC, May 2014

Nartey, Lite, Witold J. Henisz and Sinziana Dorobantu "What Brings Us Together Tears Us Apart: The Inherent Tension in Stakeholder Engagement" (previously entitled "Pipes and Prisms of Political Influence")

5) INSEAD Networks Conference, October 2014

- 4) International Conference Corporate Sustainability & Responsibility, Berlin, October 2014
- 3) George Washington University, September 2014
- 2) Wharton School, University of Pennsylvania, September 2014
- 1) Rotman School, University of Toronto, September 2014

Sinziana Dorobantu, Witold J. Henisz and Lite Nartey "When Does a Stakeholder Attack Become a Reputational Crisis? Stakeholder Capital and the Micro-Foundations of Corporate Reputation" (previously entitled "Stakeholder Capital and Performance in Tough Times")

- 6) International Conference Corporate Sustainability & Responsibility, Berlin, October 2014
- 5) Said School of Business, University of Oxford, Conference on NGOs & Corporate Reputation, July 2014
- 4) University of Michigan, October 2012
- 3) INSEAD, March 2012
- 2) Rotterdam School of Management, March 2012
- 1) Tilburg University, March 2012

Nartey, Lite, Sinziana Dorobantu and Witold Henisz "A Participatory Approach to Stakeholder Engagement: Defining a Hierarchy of Strategic Action."

- 6) Said Business School, Oxford University, May 2016
- 5) London Business School, May 2016
- 4) Rice University, March 2016
- 3) International Conference Corporate Sustainability & Responsibility, Berlin, October 2014
- 2) University of Queensland, October 2013
- 1) National University of Singapore, October 2013

Henisz, Witold J., Sinziana Dorobantu and Lite Nartey "Spinning Gold: The Financial and Operational Returns to External Stakeholder Engagement."

- 13) University of Illinois Urbana-Champaign, November 2011
- 12) Strategic Management Society, Miami FL, November 2011
- 11) Social Responsibility in Mining, Santiago Chile, October 2011
- 10) McDonough School of Business, Georgetown University
- 9) Academy of Management, San Antonio TX, August 2011
- 8) Academy of International Business, Nagoya Japan, June 2011
- 7) Sumantra Ghoshal Conference on Managerially Relevant Research, London Business School, May 2011
- 6) Strategy and Business Environment & Alliance for Research on Corporate Sustainability Joint Annual Conferences, the Wharton School, May 2011
- 5) Fuqua School of Business Strategy Seminar, Duke University, January 2011
- 4) International Political Economy Society, Cambridge MA, November 2010
- 3) Engineering Project Organizations Conference (Keynote), Lake Tahoe, CA, November 2010
- 2) Management and Organizations Seminar, Marshall School of Business, University of Southern California, October 2010
- 1) International Seminar, Harvard Business School, Harvard University, September 2010

Henisz, Witold J. "Preferences, Structure and Influence: The Engineering of Consent"

12) Market Strategy and Business Environment Conference, Kellogg School of Business,

Northwestern University, Evanston IL, May 2010

- 11) The Wharton School, University of Pennsylvania, April 2010
- 10) Robert H. Smith School of Business, University of Maryland, March 2010
- 9) International Political Economy Society Conference, College Station TX, November 2009
- 8) Sloan School of Business, Massachusetts Institute of Technology, October 2009
- 7) The Fletcher School, Tufts University, October 2009
- 6) Academy of Management Conference, Chicago IL, August 2009
- 5) Academy of International Business Conference, San Diego CA, June 2009
- Collaboratory for Research on Global Projects, Stanford University, May 2009
- 3) Graduate School of Business, Stanford University, April 2009
- 2) Haas School of Business, University of California at Berkeley, April 2009
- 1) SCANCOR, Stanford University, April 2009

Henisz, Witold J. "Liberalism, Regulation and Global Economic Crises: An Agenda for Upgrading the Belief Systems of Global Capitalism" Previously entitled "Embedded Neoliberalism: Replacing the False Prophets of Homo Economicus with Homo Therian"

- 3) Academy of International Business, Rio de Janeiro Brazil, June 2010
- 2) Frontiers of International Business Conference, Charleston SC, December 2009
- 1) Sorbonne sponsored Conference in Villa Finaly Florence, Italy June 2009

Henisz, Witold J., Lite Nartey and Sinziana Dorobantu "The Financial and Operational Returns to Stakeholder Engagement: Spinning Golf from Political and Social Entrepreneurship"

1) American University, April 2010

Henisz, Witold J., Raymond E. Levitt and Daniel Levitt "Normative and Cognitive Institutional Supports for Relational Contracting in Infrastructure Projects"

- 4) University of Wisconsin (Madison), March 2010
- 3) Carlson School of Management, University of Minnesota, October 2009
- 2) Darden School of Business, University of Virginia, October 2009
- 1) The Wharton School, University of Pennsylvania, September 2009

Henisz, Witold J. "Concentrated Power, Foreign Direct Investment and Economic Growth"

- 3) International Political Economy Society Annual Meeting, Philadelphia PA, November 2008
- 2) Academy of International Business Conference, San Diego CA, June 2009
- 1) Academy of Management Conference, Chicago IL, August 2009

Henisz, Witold J. "Concentrated Power, Liberalization and Growth in the Global Wireless Telecommunications Sector."

- 2) Academy of International Business Conference, San Diego CA, June 2009
- 1) Academy of Management Conference, Chicago IL, August 2009

Henisz, Witold J., Bennet A. Zelner and Guy L.F. Holburn, "Contentious Implementation and Retrenchment in Neoliberal Policy Reform: The Global Electric Power Industry, 1989 – 2001" formerly entitled "The Ex Post Decoupling of Neoliberal Reforms in the Global Electric Power Industry" and "Deinstitutionalization and Institutional Replacement: State-Centered and Neoliberal Models in the Global Electricity Supply Industry" and "The Deinstitutionalization of Coerced

Reforms: The Case of Private Infrastructure Investments" and "Resistance to Illegitimate Multilateral Influence on Reform: The Political Backlash Against Private Infrastructure Investments"

- 17) Stanford University, January 2009
- 16) Cornell University, April 2008
- 15) Emory University, November 2007
- 15) Northwestern University, September 2007
- 14) University of South Carolina, March 2006
- 13) American Political Science Association Conference, Washington DC, September 2005
- 12) Academy of Management, Honolulu, HI, August 2005
- 11) Academy of International Business, Quebec City, Canada, July 2005
- 10) Social Science Research Center Berlin (WZB), Berlin Germany, July 2005
- 9) EGOS (European Group for Organizational Studies), Berlin Germany, June 2005
- 8) FDI and Political Institutions, Washington University of St. Louis, June 2005
- 7) American Economics Association Annual Meetings, Philadelphia PA, January 2005
- 6) Aspen Institution Ford Foundation Conferences on "Success and Failures in Institutional Development: The Role of Business", Concord MA, November 2004
- 5) IESE Business School, Barcelona, November 2004
- 4) Harvard Business School International Research Conference, October 2004
- 3) Competitive Strategy and International Business Seminar, University of Michigan Business School, October, 2004
- 2) Management Department Seminar, Fuqua School, Duke University, September 2004
- 1) Multinational Management Seminar, The Wharton School, September 2004

Jandhyala, Srividya, Henisz, Witold J. and Mansfield Edward "Pooling Dyads is a BIT Inappropriate"

- 1) American Political Science Association Annual Meeting, Boston MA, September 2008
- 2) Washington University (St. Louis) Conference on Multinational Corporations and Politics, March 23-24, 2007

Henisz, Witold J., Bennet A. Zelner and Mauro F. Guillén, "The Worldwide Diffusion of Market-Oriented Infrastructure Reform" formerly entitled "Coercion, Emulation and Credibility in Policy Reform: Market Oriented Reforms in Telecommunications and Electricity, 1977-99."

- 12) Management Department Seminar, Fuqua School, Duke University, November 2005
- 11) IMF Research Conference, Washington DC, November 2005
- 10) ESADE Business School, Barcelona, Spain, May 2005
- 9) IESE Business School, Barcelona, Spain, May 2005
- 8) Macro-Organizational Behavioral Society (MOBS), Chicago IL, November 2004
- 7) International Society for the New Institutional Economics, Tucson AZ, September 2004
- 6) Academy of Management, New Orleans LA, August 2004
- 5) Academy of International Business, Stockholm Sweden, July 2004
- 4) Carnegie-Mellon University, Pittsburgh PA, April 2004
- 3) American Economics Association Annual Meetings, San Diego CA, January 2004
- 2) University of California, Berkeley, November 2003
- Reginald H. Jones Center for Management Policy, Strategy and Organization Brown Bag Seminar, The Wharton School, November 2003

Henisz, Witold J. "The Institutional Environment for International Business"

1) Academy of International Business, Quebec City, Canada, July 2005 (Convening Plenary)

Henisz, Witold J. and Bennet A. Zelner "Interests, Intensity, Institutions and Influence: The 4Is of Political Risk Identification and Management"

1) Academy of International Business, Quebec City, Canada, July 2005

Henisz, Witold J. and Andrew Delios "Information or Influence? The Benefits of Experience for Managing Political Uncertainty" [Previously entitled "Following the Herd and Sleeping with the Enemy: Strategies for Managing Policy Uncertainty" and "Subsidiary Survival in the Face of Policy and Regime Uncertainty"]

- 9) Academy of Management, New Orleans, LA, August 2004
- 8) University of Washington, Seattle WA, May 2004
- 7) INSEAD Strategy Seminar Series, Fontainebleau France, September 2003
- 6) Academy of Management, Seattle, WA, August 2003
- 5) Academy of International Business, Monterey CA, July 2003
- 4) Strategic Research Forum, Washington University of St. Louis, St. Louis MO, May 2003
- 3) Rotman School of Management, University of Toronto, November 2002
- 2) Harvard Business School, Harvard University. November 2002
- 1) Reginald H. Jones Center for Management Policy, Strategy and Organization Brown Bag Seminar, The Wharton School, November 2002

Henisz, Witold J. "The Political Economy of Trade Liberalization: The Case of Latin America"

1) Inter-American Development Bank conference on the Free Trade of the Americas Act and Transpacific Business Linkages, Tokyo Japan, December 2003

Henisz, Witold J. and Bennet A. Zelner, "Legitimacy, Interest Group Pressures and Institutional Change: The Case of Foreign Investors and Host Country Governments" [previously entitled, "Values, Institutions and the Dynamics of Bargaining Power: Managing to Keep the Lights On (And the Profits Flowing)"]

- 11) International Society for the New Institutional Economics, Budapest Hungary, September 2003
- 10) American Political Science Association Conference, Philadelphia PA, September 2003
- 9) Academy of Management Conference (Showcase Symposium), Seattle WA, August 2003
- 8) William Davidson Institute and Aspen Institute Conference on Trust and Globalization, Aspen Institute, Aspen Colorado, March 2003
- 7) William Davidson Institute and Aspen Institute Conference on Trust and Globalization, University of Michigan, November 2002
- 6) Academy of International Business, San Juan Puerto Rico, July 2002
- 5) Global Risk Management Conference, Cleveland, April 2002
- 4) Academy of International Business, Sydney Australia, November 2001
- 3) Reginald H. Jones Center for Management Policy, Strategy and Organization Brown Bag Seminar, The Wharton School, October 2001

2) The International Society for the New Institutional Economics, Berkeley CA, September 2001

1) Academy of Management Conference (All-Academy Panel), Washington DC, August 2001

Henisz, Witold J. and Benner A. Zelner, "Explicating Political Hazards: A Transaction Cost Politics Approach"

1) Academy of International Business, Monterey CA, July 2003

Henisz, Witold J. and Bennet A. Zelner, "The Political Spectrum"

1) Academy of Management Conference, Denver CO, August 2002

Henisz, Witold J. and Bennet A. Zelner. "Political Risk Management: A Strategic Perspective."

 MIGA-Georgetown Symposium on Political Risk Management, Washington D.C., October 2002

Henisz, Witold J. and Jeffrey T. Macher, "Firm- and Country-Level Tradeoffs and Contingencies in the Evaluation of Foreign Investment: The Semiconductor Industry, 1994-2002" [Previously entitled "Technology, Experience and Politics: Plant Location Decisions in the Global Semiconductor Industry, 1995-2000"]

- 4) International Strategy Conference, Ann Arbor MI, October 2002
- 3) Academy of International Business, San Juan Puerto Rico, July 2002
- 2) Academy of Management Conference (All-Academy Panel), Washington DC, August 2001
- 1) Jones Center Brownbag Seminar, The Wharton School, April 2001

Henisz, Witold J., "Political Institutions and Policy Volatility."

- 2) International Society for the New Institutional Economics Conference, Cambridge MA, September 2002
- 1) American Political Science Association, San Francisco CA, September 2001

Henisz, Witold J., "The Power of the Buckley and Casson Thesis: The Ability to Manage Institutional Idiosyncrasies"

1) Academy of International Business (Plenary Session), San Juan Puerto Rico, July 2002

Delios, Andrew and Witold J. Henisz, "Political Hazards, Experience and Sequential Entry in Distribution and Manufacturing: The International Expansion of Japanese Firms, 1980-1998"

- 3) Academy of International Business, Sydney Australia, November 2001
- 2) Stern School of Business, New York University, September 2001
- 1) Academy of Management Conference, Washington DC, August 2001

Witold J. Henisz and Bennet A. Zelner, "Interest Groups, Institutional Structures and Electricity Investment"

- 14) Graduate School of Business, Stanford University, September 2001
- 13) American Political Science Association, San Francisco CA, September 2001
- 12) Academy of Management Conference (All-Academy Panel), Washington DC, August 2001
- 11) University of Southern California, March 2001

10) The International Society for the New Institutional Economics, Teubingen Germany, September 2000

- 9) Harvard Business School Workshop on Emerging Markets, Cambridge MA, August 2000
- 8) Academy of Management, Toronto Canada, August 2000
- 7) International Centre for the Study of East Asian Development, Kitakyushu Japan, May 2000
- 6) Energy Markets and Reform Brown Bag Luncheon Seminar, The World Bank, Washington D.C. May 2000
- 5) Strategy Research Forum, Cohassat MA, May 2000
- 4) Wharton Applied Economics Workshop, Philadelphia PA, February 2000.
- 3) Wharton Multinational Strategy Conference, Philadelphia PA, October 1999
- 2) Western Economic Association, San Diego CA, July 1999
- 1) American Law and Economics Association, New Haven CT, May 1999

Henisz, Witold J. and Andrew Delios, "Uncertainty, Imitation and Plant Location: Japanese Multinational Corporations, 1990-96"

- 6) Strategic Research Forum, Kellogg School of Management, Northwestern University, Evanston, IL, May 2001
- 5) University of California, Berkeley, March 2001
- 4) University of California, San Diego, March 2001
- 3) Academy of Management, Toronto Canada, August 2000
- 2) Applied Economics Workshop, The Wharton School, July 2000
- 1) Reginald H. Jones Center for Management Policy, Strategy and Organization Brown Bag Seminar, The Wharton School, November 1999

Henisz, Witold J. and Andrew Delios, "Learning about the Institutional Environment"

- 2) New Institutionalism in Strategic Management Conference, Columbia University, April 2001
- 1) INFORMS, San Antonio TX, November 2000

Henisz, Witold J., "The Institutional Environment for Infrastructure Investment"

- 2) American Political Science Association, Washington DC, September 2000.
- 1) The International Society for the New Institutional Economics, Washington DC, September 1999

Henisz, Witold J., "The Institutional Environment for International Investment"

 Panel of Finalists for Richard N. Farmer Award for best dissertation in International Business, Academy of International Business, Charleston SC, November 1999

Henisz, Witold J. and Bennet Zelner, "The Institutional Environment for Telecommunications Investment"

- 3) Public Policy and Management Department Brown Bag, The Wharton School, April 1999
- 2) Academy of International Business, Vienna Austria, October 1998

1) Telecommunications Policy Research Conference, Washington DC, September 1997.

Henisz, Witold J., "The Institutional Environment for Multinational Investment",

- Positive Political Theories of Business Strategy Conference, Marshall CA, October 1998
- 8) Strategic and International Management Seminar, MIT, October 1998
- 7) International Society for the New Institutional Economics, Paris France, September 1998
- 6) Academy of Management Conference, San Diego CA, August 1998
- Competition and Development Conference (sponsored by Harvard Business School and The Journal of Economics and Management Strategy), Cambridge MA, May 1998
- 4) The Legal and Cultural Challenges to International Business Conference (sponsored by the CIBERs of the University of Illinois Urbana-Champaign and the University of Chicago), Chicago IL, February 1998
- 3) Academy of International Business Conference, Monterrey, Mexico, October 1997
- Institutional Analysis Seminar, University of California at Berkeley, September 1997
- 1) Colloquium on Competition and Cooperation, Ann Arbor, MI, April, 1997.

Delios, Andrew and Henisz, Witold J., "Japanese Firms' Investment Strategies in Emerging Economies"

- 3) Academy of Management, Chicago IL, August 1999
- 2) Western Economics Association, San Diego CA, July 1999
- 1) Strategy Research Forum, Gloucster MA, May 1999

Henisz, Witold J., "Judging the Effect of Political Constraints on Economic Growth",

1) International Studies Association Conference, Manzanillo, Mexico, December 1997.

Henisz, Witold J. and Oliver E. Williamson, "Comparative Institutional Economics – Within and Between Systems",

1) American Economics Association, Chicago, January 1998.

Henisz, Witold J., "'The Institutions and Governance of Economic Reform': Theoretical Extensions and Applications",

- 3) Academy of Management Meetings, Boston, MA, July, 1997.
- 2) University of Canterbury, Christchurch, New Zealand, January, 1997.
- 1) General Accounting Office, San Francisco, CA, June, 1995.

Bergara, Mario, Witold J. Henisz and Pablo Spiller. "Political Institutions and Electric Utility Investment: A Cross-national analysis"

1) University of California Energy Institute, November 1997

D. Editorial Activities

Associate Editor

Strategic Management Journal (2013 - 2019)

Economics and Politics (2004-2011)

Business and Politics (2009-present)

Co-Editor Special Issue on International Business and Strategy in an Era of Global Flux Strategy Science (2017-2019)

Departmental Editor

Journal of International Business Studies (2007-2010)

Departmental Co-Editor

Journal of International Business Studies (2005-2007)

Co-Editor Special Issue on Conflict and International Business Journal of International Business Studies (2008-2010)

Co-Editor Special Issue on Institutions and International Business Journal of International Business Studies (2005-2007)

Editorial Board Member

Academy of Management Journal (2004-2010)

Academy of Management Review (2017-present)

Administrative Science Quarterly (2011-2013)

Business and Politics (2004-2008)

Engineering Project Organization Journal (2010-present)

Journal of International Business Studies (2000-2005)

Organization Science (2013-2015)

Strategic Management Journal (2007-2013)

Strategy Science (2014-present)

Strategic Organization (2005-2012)

Ad hoc referee (refereed journals)

Academy of Management Learning and Education

Academy of Management Review

Administrative Science Quarterly

American Economic Review

American Journal of Political Science

American Political Science Review

American Sociological Review

British Journal of Management

British Journal of Political Science

California Management Review

Comparative Political Studies

Economic Inquiry

European Economic Review

Industrial and Corporate Change

International Organization

International Review of Law and Economics

International Studies Quarterly

Journal of Applied Economics

Journal of Business Research

Journal of Comparative Economics

Journal of Conflict Resolution

Journal of Development Economics

Journal of Economic Growth

Journal of Economics & Management Strategy

Journal of Industrial Economics

Journal of Institutional Economics

Journal of International Management

Journal of Law, Economics and Organization

Journal of Management Studies

Journal of Politics

Journal of Public Economics

Management International Review

Management Science

Organization Science

Organization Studies

Oxford Economic Papers

Oxford University Press

Public Choice

Regulation and Governance

Review of Economics and Statistics

Review of International Political Economy

Social Forces

Strategic Entrepreneurship Journal

Strategic Management Journal

Thunderbird International Business Review

World Bank Economic Review

World Development

World Politics

Ad hoc referee (book manuscripts)

Oxford University Press

University of Chicago Press

Wharton School Publishing

Ad hoc referee (refereed grants)

National Science Foundation

Ad hoc referee (refereed Professional Association Conferences)

Academy of International Business

Academy of Management (Business Policy & Strategy and International Management)

E. Research Interests

Multinational Firms' strategic responses to political and regulatory hazards Stakeholder Engagement Corporate Reputation Corporate Social Responsibility

III. TEACHING ACCOMPLISHMENTS

A. Courses Taught

1. Undergraduate Courses

```
Management 209 Political Environment of the Multinational Firm
     Spring 2022 Instructor Rating of 3.56 out of 4.0
    Spring 2021 Instructor Rating of 3.55 out of 4.0
    Spring 2020 Instructor Rating of 3.53 out of 4.0
    Spring 2019 Instructor Rating of 3.45 out of 4.0 (Departmental mean of 3.03)
    Fall 2017 Instructor Rating of 2.78 out of 4.0
    Fall, 2015 Instructor Rating of 3.78 out of 4.0 (Departmental mean of 2.85)
    Spring, 2010 Instructor Rating of 3.47 out of 4.0 (Departmental mean not reported)
    Spring, 2008 Instructor Rating of 3.50 out of 4.0 (departmental mean of 2.86)
    Spring, 2007 Instructor Rating of 3.68 out of 4.0 (departmental mean of 2.76)
    Spring, 2006 Instructor Rating of 3.82 out of 4.0 (departmental mean of 2.85)
     Spring, 2005 Instructor Rating of 3.70 out of 4.0 (departmental mean of 2.85)
    Spring, 2004 Instructor Rating of 3.59 out of 4.0 (departmental mean of 3.00)
    Spring, 2003 Instructor Rating of 3.71 out of 4.0 (departmental mean of 2.65)
    Spring, 2002 Instructor Rating of 3.53 out of 4.0 (departmental mean of 2.74)
    Spring, 2001 Instructor Rating of 3.78 out of 4.0 (departmental mean of 2.73)
    Spring, 2000 Instructor Rating of 4.50 out of 5.0 (departmental mean of 3.87)
```

2. Masters Courses

```
Management 720: Corporate Diplomacy (MBA)
Spring 2022 Instructor Rating of 3.61 out of 4.0
Spring 2021 Instructor Ratings of 2.97 & 3.41 out of 4.0
Spring 2020 Instructor Ratings of 3.40 & 3.03 out of 4.0
Spring 2019 Instructor Rating of 3.58 out of 4.0 (Departmental mean of 2.98)
Fall, 2017 Instructor Ratings of 3.14 & 3.16 out of 4.0
Fall, 2015 Instructor Ratings of 3.79 & 3.59 out of 4.0 (Departmental mean of 2.85)
Spring, 2010 Instructor Rating of 3.37 & 3.14 out of 4.0 (Departmental mean not reported)
```

Management 715: Political Environment of the Multinational Firm (MBA)
Q3 2014-15 Instructor Ratings of 3.39 and 3.25 **out of 4.0** (Departmental mean of 2.75)

```
O3 2013-14
                   Instructor Ratings of 3.65 and 3.72 out of 4.0 (departmental mean not reported)
     O3 2012-13
                   Instructor Ratings of 3.52 and 3.50 out of 4.0 (departmental mean not reported)
     Q3 2011-12
                   Instructor Ratings of 3.81 and 3.77 out of 4.0 (departmental mean not reported)
     Q3 2010-11
                   Instructor Ratings of 3.68 and 3.50 out of 4.0 (departmental mean not reported)
     Q4 2007-08
                   Instructor Ratings of 3.55 and 3.38 out of 4.0 (departmental mean of 2.86)
     Q4 2006-07
                   Instructor Ratings of 3.37 and 3.24 out of 4.0 (departmental mean of 2.76)
     Q4 2005-06
                   Instructor Ratings of 3.49 and 3.22 out of 4.0 (departmental mean of 2.85)
     O3 2004-05
                   Instructor Ratings of 3.46 and 3.34 out of 4.0 (departmental mean of 3.08)
     Q3 2003-04
                   Instructor Ratings of 3.41 and 3.21 out of 4.0 (departmental mean of 2.92)
     Q3 2002-03
                   Instructor Ratings of 3.52 and 3.38 out of 4.0 (departmental mean of 2.60)
     Q4 2001-02
                   Instructor Rating of 3.42 out of 4.0 (departmental mean of 2.74)
     Q3 2001-02
                   Instructor Ratings of 3.07 and 2.93 out of 4.0 (departmental mean of 3.14)
     Q3 2000-01
                   Instructor Ratings of 3.45 and 3.13 out of 4.0 (departmental mean of 3.13)
     O2 2000-01
                   Instructor Rating of 3.32 out of 4.0 (departmental mean of 2.72)
     Q4 1999-00
                   Instructor Rating of 4.20 out of 5.0 (departmental mean of 3.87)
     O3 1999-00
                   Instructor Ratings of 4.59 and 4.12 out of 5.0 (departmental mean of 3.49)
     O3 1998-99
                   Instructor Ratings of 4.40 and 4.24 out of 5.0 (departmental mean of 3.92)
     Q2 1998-99
                   Instructor Ratings of 4.00 and 4.00 out of 5.0 (departmental mean of 3.88)
Management 715: Geopolitics (MBA for Executives, Philadelphia & San Francisco campuses)
    Spring 2015
                   Instructor Rating of 3.75 out of 4.0 (Departmental mean of 2.75)
    Fall 2013
                   Instructor Rating of 3.75 out of 4.0 (Departmental mean not reported)
                   Instructor Rating of 3.14 out of 4.0 (Departmental mean not reported)
    Spring 2012
    Summer 2010 Instructor Rating of 2.96 out of 4.0 (Departmental mean not reported)
    Summer 2009 Instructor Rating of 3.52 out of 4.0 (Departmental mean not reported)
    Fall 2008
                   Instructor Ratings of 3.76 out of 4.0 (Departmental mean of 2.99)
    Summer 2007 Instructor Ratings of 3.80 and 3.55 out of 4.0 (Departmental mean of 3.12)
    Summer 2006 Instructor Ratings of 3.87 and 3.58 out of 4.0 (Departmental mean of 2.92)
    Spring 2006 Instructor Rating of 3.50 out of 4.0 (Departmental mean of 2.92)
    Summer 2005 Instructor Ratings of 3.57 and 3.46 out of 4.0 (School mean of 3.24)
    Summer 2004 Instructor Ratings of 3.64 and 3.24 out of 4.0 (School mean of 3.12)
    Fall 2003
                   Instructor Rating of 3.94 out of 4.0 (School mean of 3.09)
    Summer 2003 Instructor Rating of 3.70 out of 4.0 (School mean of 3.09)
    Fall 2002
                   Instructor Rating of 3.43 out of 4.0 (School mean of 3.12)
    Summer 2002 Instructor Rating of 3.36 out of 4.0 (School mean of 3.12)
Management 611: Managing the Established Enterprise, Global (MBA Flex-Core)
```

Fall 2013 Instructor Ratings of 2.46, 2.48 and 2.80 out of 4.0 (departmental mean not reported) Fall 2012 Instructor Ratings of 3.20, 3.13 and 2.89 out of 4.0 (departmental mean not reported)

Management 611: Managing the Established Enterprise, Global (MBA Flex-Core, JD-MBA) Summer 2013 Instructor Rating of 3.60 out of 4.0 (departmental mean not reported) Summer 2013 Instructor Rating of 2.90 out of 4.0 (departmental mean not reported)

Management 611: Managing the Established Enterprise, Global (MBA Flex-Core, WEMBA-W) Summer 2013 Instructor Ratings of 3.47 and 3.47 out of 4.0 (departmental mean not reported)

```
Management 655: Global Strategy (Core class - MBA for Executives, Philadelphia campus)
       Summer 2012 Instructor Rating of 2.41 and 2.18 out of 4.0 (Departmental mean not reported)
       Summer 2011 Instructor Rating of 2.15 and 1.85 out of 4.0 (Departmental mean not reported)
       Summer 2010 Instructor Rating of 3.26 and 3.30 out of 4.0 (Departmental mean not reported)
  International Studies 721: International Political Economy of Business Environments
       (MBA/MA)
       Spring 2008
                     Instructor Rating of 3.36 out of 4.0 (no mean reported)
       Spring 2007
                      Instructor Rating of 4.24 out of 5.0 (no mean reported)
       Spring 2006
                      Instructor Rating of 4.42 out of 5.0 (no mean reported)
       Spring 2005
                      Instructor Rating of 3.76 out of 4.0 (no mean reported)
       Spring 2004
                      Instructor Rating of 3.58 out of 4.0 (no mean reported)
  Civil and Environmental Engineering 341: Politics and Infrastructure Investment
       (Stanford University)
       Q1 2009-10 Instructor Rating of 4.20 out of 5.0 (departmental mean of 4.21)
3. Doctoral Courses
  Management 955: Foundations Multinational Management
                      Instructor Rating of 3.75 out of 4.0 (departmental mean not reported)
       O3 2021-22
       Q3 2018-19
                      Instructor Rating of 3.67 out of 4.0 (departmental mean not reported)
       O1 2016-17
                      Instructor Rating of 4.0 out of 4.0 (departmental mean not reported)
                      Instructor Rating of 3.33 out of 4.0 (departmental mean not reported)
       Q3 2014-15
       Q3 2012-13
                      Instructor Rating of 4.0 out of 4.0 (departmental mean not reported)
       Q3 2010-11
                      Instructor Rating of 4.0 out of 4.0 (departmental mean not reported)
  Management 960: Institutions & Multinational Management
       O4 2021-22
                      Instructor Rating of 4.0 out of 4.0 (departmental mean not reported)
       O4 2018-19
                      Instructor Rating of 4.0 out of 4.0 (departmental mean not reported)
```

4. Executive Education

O2 2016-17

Q4 2014-15

Q4 2012-13

Q4 2010-11

Sessions on ESG, Corporate Diplomacy, Global Policy Risk, Global Risks and Globalization for the Wharton School's open enrollment (Executive Development Program, Advanced Management Program, Strategic Thinking & Management, Advanced Risk Management, Sustainability Leadership, Country Manager, CTO) and custom programs (ABC Corporation, MIND ID, Suntory, Dubai World, Royal Dutch Shell Petroleum, ADP, Raytheon, CNI, LinKs, Pernod Ricard, Securities Industries Institute, CKGSB, Cisco, IBM, Minsheng Bank, ESADE, Capital Airports Holding, British Aerospace, Institute for Stock Exchange Studies, Guanghua School of Management, China Civil Aviation Administration, Veolia, ESADE, IEB, Santander

Instructor Rating of 4.0 out of 4.0 (departmental mean not reported)

Instructor Rating of 3.5 out of 4.0 (departmental mean not reported)

Instructor Rating of 4.0 out of 4.0 (departmental mean not reported)

Instructor Rating of 4.0 out of 4.0 (departmental mean not reported)

Universidades, IMCA, Lubrizol, First Bank (Nigeria), Novo Nordisk, Tokio Marine, Global Business Travel Association, Walmart) as well as the Managing Global Initiatives open enrollment program at Stanford University.

Member of Design Team and Delivery Faculty for Rio Tinto Stakeholder Engagement Academy

November 2014, Phoenix

January 2014, London United Kingdom

October 2013, Montreal Canada (2 Cohorts)

August 2013, Lima Peru (2 Cohorts)

May 2013, Salt Lake City (2 Cohorts)

December 2012, Washington DC

September 2012, Johannesburg South Africa

Lockheed Martin, International Capture Management Qualification Course

July 2015, Manassas VA

December 2015, Manassas VA

Academic Director,

Wharton Online Coursera Materiality of ESG Module

MIND ID, Senior Leadership Development Program, 2019-present

MIND ID, Executive Leadership Development Program, 2019-present

CNI Strategy and Business Innovation Program at the Wharton School, 2007 & 2008.

Custom executive education services provided directly to Shell Exploration and Production Company, February 2009.

B. Courses created/developed

Undergraduate

Management 209 Political and Social Environment of the Multinational Firm (Undergraduate)

Masters

Managing 611/612 Managing the Established/Emerging Enterprise (Global "chunk" of a new required course for all Wharton MBAs to be launched in AY 2012-13)

Management 655 Global Strategic Management (MBA for Executives)

Management 715 Political and Social Environment of the Multinational Firm (MBA and MBA for Executives)

Management 720 Corporate Diplomacy (MBA)

International Studies 721: International Political Economy of Business Environments (The Joseph H. Lauder Institute for Management (MBA) and International Studies (MA))

Civil and Environmental Engineering (Stanford University) 341 Politics and Infrastructure Investment (Masters and PhD)

Doctoral

Management 955 Foundations of Multinational Management (PhD)

Management 960 Institutions & Multinational Management (PhD) Management 970 Applied Methods for Management Research (PhD)

C. Teaching Interests

Stakeholder Analytics & Strategy
The International Economic, Legal, Political and Social Environment of Business
Management of Environmental, Social and Governance Factors
Multinational Strategy

IV. PROFESSIONAL ACTIVITIES

A. University-related activities

1. Committee Membership

University of Pennsylvania, Social Responsibility Advisory Committee (to the Trustee Voting Proxy Subcommittee), 2023-

Faculty IT Steering Committee Member 2021-22

Departmental Diversity Search Advisor, Co-Chair 2021-22

Chair, Faculty Search Committee, Multinational Management Group, Management Department, The Wharton School, University of Pennsylvania, 2021-22, 2019-20, 2018-19, 2009-10 & 2003-2004.

Member, Advisory Council, Penn Lauder Center for International Business Education and Research, 2010-present

Doctoral Coordinator, Management Department and Member, Doctoral Executive Committee, The Wharton School, University of Pennsylvania, 2010-2017

Member, PhD Committee, Management Department, The Wharton School, University of Pennsylvania, 1998-2000 & 2004-2008 & 2009-2017.

Member, Faculty Search Committee, Multinational Management Group, Management Department, The Wharton School, University of Pennsylvania, 1998-1999, 1999-2000, 2001-2002, 2002-2003, 2004-05, 2006-07, 2007-08, 2010-11, 2011-12, 2013-14 & 2014-15.

Member, MBA Executive Committee, The Wharton School, University of Pennsylvania, 2009-2010.

Member, MBA Curriculum Committee, The Wharton School, University of Pennsylvania, 2007-

2008.

Member, Proposal Evaluation Committee, Guanghua-Wharton Joint Research Initiative on Firms and Markets in China, 2006-2008.

Member, Fulbright Committee, University of Pennsylvania, 2006-07.

Member, Junior Faculty Search Committee, Entrepreneurial Management Group, Management Department, The Wharton School, University of Pennsylvania, 2005-06 & 2006-07.

Member, PhD Review Committee, The Wharton School, University of Pennsylvania, 2000

2. Advising

Doctoral Thesis Advisor

Srividya Jandhyala (PhD 2009, placed at George Washington University; now at ESSEC)

Lite Nartey (PhD 2012, placed at University of South Carolina, now at INSEAD) Luis Ballesteros (PhD 2016, placed at George Washington University, now at Boston University)

Kate Odziemkowska (PhD 2019, placed at Rice University, now at Rotman School, University of Toronto)

Rachel Pacheco (2012-present)

Tony He (2017-present)

James McGlinch (2018-present)

Qingqing Chen (2018 – present)

Christopher Bruno (2020-present)

Doctoral Thesis Committee Membership

Aline Gatignon (PhD 2016 INSEAD; placed at The Wharton School)

Sinziana Dorobantu (PhD 2010 Duke Political Science; initial placement as Visiting Scholar and Senior Fellow, The Wharton School 2010-12, tenure track placement at NYU-Stern, 2012)

Gino Cattani (PhD 2005; placed at New York University)

Muir Macpherson (PhD 2004; placed at University of Texas, Austin)

Doctoral Advising

Adam Castor (2nd year paper advisor, Member Doctoral Committee; placed at Sungkyunkwan University)

Wharton Undergraduate Research Scholars Program Advisor

Katherine Wang, 2014-15

Brett Shaheen [Rhodes Fellowship Recipient], 2004-05

Sarah Lewis, 2003-04

Huntsman Undergraduate Thesis Advisor

Brian Waweru, 2015 Victor Alves, 2015 Rimma Khafizova, 2007 Anna Kalnechits, 2006 Daniel Fields, 2005 Katherine Fetter, 2003 Denise Shumway, 2002 Elisaveta Kostova, 2000-01 Joshua Callahan, 1999-00

International Relations Senior Thesis Advisor
Brett Shaheen [Rhodes Fellowship Recipient], 2005-06

Independent Study Supervisor

Jessica Anne Hatch (MBA), Spring 2007 Lakshmi Ramarajan (PhD), 2004-06 Jonathan Bebo (MBA), Fall 2003

Lauder Global Knowledge Laboratory Project Advisor 2009-2021 (2-3 projects per year)

Field Application Project Advisor Global Heritage Fund, 2009-10

3. Conference or Seminar Organization

Co-Organizer, Wharton Strategy and the Business Environment Research Conference, May 2018 (with Mae McDonneell), May 2011 (with Evan Rawley), March 2004 (with Dennis Yao) & September 2000 (with Dennis Yao)

Organizer, Faculty Development in International Business Conference, May 2012

Co-Organizer (with Sinziana Dorobantu), Globalization TrendLab 2.0 on Sustainability, April 2012

Organizer, Faculty Development in International Business Conference, May 2011

Co-Organizer (with Sinziana Dorobantu), Globalization TrendLab 1.0 on Global Risks, April 2011

Organizer, University of Pennsylvania's International Interdisciplinary Seminar Series, 2005-06

Co-Organizer (with Heather Berry), Multinational Management Seminar Series, 2000-05

Co-Organizer (with Mauro Guillen), Wharton Multinational Strategy Research Conference, October 1999

5. Other University Activities

Director, Wharton Political Risk Lab (2019-Present)

Founder, Wharton ESG Analytics Lab (2019-Present)

Faculty Associate, Christopher H. Browne Center for International Politics

Taught Master Class

Wharton Alumni Forum, Sydney, Australia March 2018

Wharton Alumni Forum, Jakarta, Indonesia, June 2012

Wharton Alumni Forum, San Francisco, June 2011

Wharton Alumni Forum, Dubai, March 2009

Wharton Alumni Forum, Lima, March 2008

Presented Summary of Research to

Olympus Forum Alumni Annual Meeting, October 2017

Combined International Alumni Board, the Wharton School, October 2010

Board of Directors, the Wharton School, October 2007

Panel Moderator

Wharton Alumni Forum, Jakarta, Indonesia, June 2012

Wharton Alumni Forum, Dubai, March 2009

Wharton Alumni Forum, Lima, March 2008

Wharton Economic Summit, April 2007

Wharton Social Impact Management Conference, March 2006

Wharton Energy Conference, March 2006

Wharton Economic Summit, February 2006

Wharton Asia Conference, November 2005

Wharton Latin America Conference, November 2005

Wharton Energy Conference, March 2005

Wharton Europa Conference, November 2004

Wharton Alumni Forum, Shanghai, May 2004

Conference on Risk Management, February 2002

International Corruption Forum, September 2001

Taught Huntsman Preceptorial, March 2003

Taught Mock Class Wharton Welcome Weekend, 2000-2002

Research profiled in Wharton Alumni Magazine, Spring 2000

B. Non-university-related activities

1. Academic Service

Track Chair, Strategic Management Society, Paris France, September 2018

Track Chair, Academy of International Business Conference, Milan Italy, July 2008.

Program Chair, Strategy Research Forum, Toronto Canada, May 2004

Consortia (Co-)Chair

Academy of Management, International Management Division Junior Faculty Consortium, New Orleans LA August 2004 (co-chair with Joanne Oxley, University of Toronto)

Academy of Management, International Management Division Junior Faculty Consortium, Seattle, WA August 2003 (co-chair with Thomas Murtha, University of Minnesota)

Academy of International Business, Doctoral Consortium, San Juan Puerto Rico, June 2002

Research Committee, International Management Division, Academy of Management (2003) Evaluated dissertations for Barry Richman Award

2. Non-Academic Activities

Member, Academic Advisory Board, Engine No 1 (2021-present)

Principal, Political Risk Identification and Management, (2002-present) & The Probity Group (2006-present)

Witold is currently a principal in the political risk management consultancy PRIMA LLC whose recent consulting clients include Anglo Gold Ashanti, Rio Tinto, Lockheed Martin, Shell Corporation, Dundee Precious Metals, Maritime Financial Group, The World Bank, The Inter-American Development Bank and the International Finance Corporation. Typical engagements focus on stakeholder mapping & analysis, the valuation and implementation of stakeholder engagement strategies and the provision of customized training workshops on these topics.

Advisory Board, Early Engagement in Extractives at One Earth Foundation (2015-present)

The Early Engagement in Extractives (EEE) Program aims to reduce violence by enhancing the capacity of communities to participate meaningfully and at opportune (early) moments in decision making regarding industrial natural resource extraction activity. The Program will provide resources to community-driven initiatives at a range of pilot sites, in the form of access to networks, information, participatory tools, and funding. Additionally, EEE aims to support community engagement by facilitating community-to-community exchanges, and by engaging systemically powerful actors such as corporate executives, investors, and international financial institutions. The EEE is supported by the One Earth Future Foundation, which has committed long-term funding to the Program. The Advisory Board provides candid and strategic guidance to the Program Director and the OEF Board of Directors on the program.

Global Advisory Group to Business and Peace Initiative, CDA Collaborative, Peace Research Institute and Africa Centre for Dispute Settlement with support of Carnegie Corporation, (2015-present)

The Business and Peace Initiative seeks to study and develop policy recommendations for business and international actors on effective business efforts to promote peace. The Global Advisory Group

1) Serves as a forum for peacebuilding practitioners, business representatives and relevant experts to engage each other about an issue of shared interest;

- 2) Helps define the project parameters, advise on possible sites for collection of field-based information, and provide feedback on draft findings;
- 3) Participates, as much as possible, in global and regional consultations to examine field data; and
- 4) Serves individually as advisors to the project, on an as-needed basis and as time allows.

Board Member, Parent Infant Center, (2001-03)

International Monetary Fund, Research Assistant, (1994)

Assessed potential benefits from labor market reform in Western Europe. Contributed to an Article IV consultation for Finland. Prepared daily morning newsletter for section staff.

Group of Thirty, Senior Researcher, (1992-1994)

Conducted supporting research for Group publications in area of international macroeconomics.

The Conference Board, Consultant, (1992-1993)

Managed consulting project under supervision of Chief Economist Gail Fosler which resulted in publication of <u>U.S. Manufacturers in the Global Marketplace</u>: A Research Report. Supervised staff of 8 research assistants who followed up mail survey with phone interviews to receive response rate of 82.5% in surveying largest 1250 U.S. Manufacturing companies regarding the organizational form of their overseas operations. Conducted data analysis. Drafted final report.

C. Professional affiliations, memberships and activities

1. Affiliations

Advisory Board Member, Global Risk Navigator (a joint project of Harvard Business Review and Eurasia Group), 2005-06.

Davidison Institute Research Fellow, William Davidson Institute at the University of Michigan Business School, October 2003 – present

2. Memberships

Academy of Management Academy of International Business International Society for the New Institutional Economics Strategic Management Society

3. Data

Political Constraint Dataset Downloaded by 20,000+ Scholars since 1998 from http://www-management.wharton.upenn.edu/henisz/POLCON/ContactInfo.html

4. Other Formal Conference Participation

Conference Organizer/Host

Strategy Research Forum, Egg Harbor City New Jersey, May 2006

Strategy and the Business Environment, The Wharton School

- 1st Annual in May 2000 (co-organized with Dennis Yao)
- 4th Annual in March 2004 (co-organized with Dennis Yao)
- 11th Annual in March 2011 (co-organized with Eric Orts)
- 18th Annual in April 2018 (co-organized with Mae McDonnell)

Panel Chair or (Co-)Organizer

Academy of Management All-Academy Panel: "How Governments Matter: An International Perspective", Washington DC, August 2001

Academy of Management Professional Development Workshop: "Strategy and Context Dependence", Toronto ON, August 2000

Western Economics Association, "Institutions and Economic Performance", San Diego CA, July 1999

Panelist

Academy of Management, International Management, Junior Faculty Consortium, Chicago IL August 2018

Strategic Management Society Extension on Non-Market Strategy, Austin TX September 2017 PhD Sustainability Academy, London ON November 2015

Academy of International Business, Junior Faculty Consortium, Stockholm Sweden, July 2004.

Academy of Management Professional Development Workshop: "Exploring the Frontiers of International Strategy and Management", Seattle WA, August 2003

Academy of Management Professional Development Workshop: "Conversations on International Strategy and Management", Washington D.C., August 2001

Academy of Management Dissertation Workshop, Toronto ON, August 2000

Invited Speaker

Children's Hospital Association CEO Summit, February 2022

Risk Management Association's Enterprise Risk Management Conference, Chicago IL, October 2015

CSIRO Cutting Edge Science Workshop on "Towards Valuation of the Social License to Operate Under Uncertainty" Brisbane Australia, June 2015

Public Affairs Council, Washington DC May 2015

Conference Board Sustainability Council, Sanibel FL, January 2015

Sustainable Brands, London UK, September 2014

Canadian-South African Chamber of Commerce, Toronto ON, September 2014

Reputation Institute, Miami FL, June 2014

Ethical Corporation Responsible Extractives Summit, June 2014

International Finance Corporation Sustainability Exchange, Washington DC, May 2014

Multilateral Investment Guarantee Authority, "Global Risk in Today's Changing Landscape", Washington DC, November 2011

International Finance Corporation Sustainability Exchange, Washington DC, June 2011 World Bank Executive Director's Colloquium, Washington DC, May 2011.

Speaker in Murray Weidenbaum Center Forum on Global Challenges to U.S. Business on topic of "Politics and Political Risk in the Developing World", Washington University (St. Louis), March 2007

Plenary panel on 'Research Programs in International Business', European International Business Academy, Oslo Norway December 2005

Anticipating Future Financial Crises, Eurasia Group December 2004

Plenary Speaker, Journal of International Business Studies, Academy of International Business and Centers for International Business Education and Research Conference on Emerging Research Frontiers in International Business, Michigan State University, September 2004

Business and International Education Conference, Monterey CA, July 2003 Claremont Conference on Global Political Economy Data, Claremont CA, April 2003 Conference on Measurement in International Business Research, St. Thomas, June 2002

Invited Discussant

Lessons from the Last Two Decades of World Bank Engagement, The World Bank, March 2006

The Wharton Impact Conference on Future of Chinese Management, San Francisco CA, April 2002

Annual Bank Conference on Development Economics, Washington D.C., April 2000

Invited Participant

World Economic Forum Summit of the New Champions, Dalian China, September 2007 World Economic Forum, Davos Switzerland, January 2005

Avoiding Strategic Surprise: Lessons from Risk Management and Risk Assessment, Eurasia Group workshop sponsored in conjunction with National Intelligence Council

V. GRANTS, HONORS AND AWARDS

A. University-related Grants

National Science Foundation

The Financial and Operational Returns to Stakeholder Engagement: Spinning Gold from Political and Social Entrepreneurship Proposal 0964856 Funded by Division of Social and Economic Sciences, Innovation and Organization Sciences Program (\$244,274)

Engine No 1 \$50,000

Study of corporate political activity and its consistency with a company's ESG outcomes and discourse.

United Nations Principles for Responsible Investment \$27,429

Research on investor activism

Bay & Paul Foundations \$302,000

Grants to extend the capacity of the Business & Conflict Barometer to measure risks related to indigenous land claims and human rights issues.

Diligent Institute \$65,000

Study of ESG shareholder activism

Children's Investment Fund Foundation \$632,000

Study of climate shareholder activism over three years.

Focusing Capital on the Long Term \$20,000

Research to explore the impact of various combinations of stakeholder discourse (talk) and performance (walk) on financial outcomes (e.g., return on invested capital, sales growth, R&D, ...)

Northern Trust \$150,000

Two year (75,000 x 2) agreement to explore ESG preferences of high-net worth families

TIAA Institute (Nuveen) \$100,000

Research on integration of ESG into corporate valuation models

Norwegian Research Council \$1,400,000

\$1.4m grant three year grant of which \$85,000 comes to the Wharton School and remainder to University of Stellenbosch and Copenhagen Business School to support the development of the Business and Conflict Barometer

Amazon Web Services \$150,000

In-kind contribution to support the development of the Business and Conflict Barometer.

EY \$300,000

Inaugural Sponsor of Wharton Political Risk Lab, \$100,000 (per year, for three years)

Calvert Research Institute \$200,000

Research Partner of ESG Analytics Lab, \$100,000 (per year, for two years)

Bank of America \$25,000

Corporate Partner of ESG Analytics Lab, \$25,000

World Wildlife Fund \$96,569

Credit Analysis and Environmental Performance in Commodity Value Chains. \$96,569

University of Pennsylvania

Global Engagement Fund \$32,800 for research on Conflict Risk at World Heritage Sites

Wharton Analytics (\$75,000) for ESG Analytics Lab

Wharton Social Impact Initiative, The Wharton School, University of Pennsylvania, 2019-20 (\$7,500)

Global Initiatives Research Program (The Tanoto Foundation), The Wharton School, University of Pennsylvania, 2019-20 (\$20,000)

Co-Sponsorship of 18th Strategy and the Business Environment Conference, April 20-21 2018

- Wharton Global Initiatives \$5,000
- Wharton Social Impact Initiative \$5,000
- Wharton Public Policy Initiative \$5,000
- McNulty Leadership Program \$5,000
- Wharton Management Department \$5,000
- Mack Center for Technological Innovation \$5,000
- Initiative for Global Environmental Leadership \$3,000
- Wharton Management Department Seminar & Colloquium Series \$1,000
- Perry World House, in-kind contribution of facilities

Global Initiatives Research Program, The Wharton School, University of Pennsylvania, 2014-2015 (\$16,500)

Social Impact Grant, The Wharton School, University of Pennsylvania, 2014-2015 (\$18,000)

Global Initiatives Research Program, The Wharton School, University of Pennsylvania, 2014-2015 (\$18,000)

Social Impact Grant, The Wharton School, University of Pennsylvania, 2012-2013 (\$10,000)

Global Initiatives Research Program, The Wharton School, University of Pennsylvania, 2012-2013 (\$30,500)

Global Initiatives Research Program, The Wharton School, University of Pennsylvania, 2011-2012 (\$13,500)

Penn-Lauder CIBER, University of Pennsylvania, 2011-12 (\$5,000)

Center for Leadership & Change Management, The Wharton School, University of Pennsylvania, 2011-12 (\$10,000)

Carol and Lawrence Zicklin Center for Business Ethics Research, The Wharton School, University of Pennsylvania, 2011-12 (\$4,000)

Penn-Lauder CIBER, University of Pennsylvania, 2010-11 (\$5,000)

Global Initiatives Research Program, The Wharton School, University of Pennsylvania, 2010-2011 (\$13,500)

Center for Leadership & Change Management, The Wharton School, University of Pennsylvania, 2010-11 (\$9,852)

Global Initiatives Research Program, The Wharton School, University of Pennsylvania, 2009-2010 (\$15,200)

Christopher H. Browne Center for International Politics, University of Pennsylvania, 2009-2010 (\$4,800)

Penn CIBER Travel Grant, The University of Pennsylvania, 2009 (\$800)

Penn CIBER Project Grant, The University of Pennsylvania, 2009 (\$3000)

The Mack Center on Technological Innovation, The Wharton School, University of Pennsylvania, 2008-09 (\$20,200)

Sol C. Snider Entrepreneurial Research Center, The Wharton School, University of Pennsylvania (\$5,000)

Case Writing Grant, The Wharton School, University of Pennsylvania, 2005-08 (\$37,080)

Christopher H. Browne Center for International Politics, The University of Pennsylvania, 2007-08 (\$2500)

Chinatrust Research Fund, The Wharton School, University of Pennsylvania, 2006-07 (\$8,750)

Christopher H. Browne Center for International Politics, The University of Pennsylvania, 2006-07 (\$2500)

The Mack Center on Technological Innovation, The Wharton School, University of Pennsylvania, 2005-06 (\$15,000)

Christopher H. Browne Center for International Politics, The University of Pennsylvania, 2005-06 (\$1500)

The Mack Center on Technological Innovation, The Wharton School, University of Pennsylvania, 2004-05 (\$7,500)

Reginald H. Jones Center for Management Policy, Strategy, and Organization, The Wharton School, University of Pennsylvania, 2003-04 (\$8,250)

The Mack Center on Technological Innovation, The Wharton School, University of Pennsylvania, 2003-04 (\$6,000)

The Mack Center on Technological Innovation, The Wharton School, University of Pennsylvania, 2002-03 (\$21,150)

Reginald H. Jones Center for Management Policy, Strategy, and Organization, The Wharton School, University of Pennsylvania, 2002-03 (\$8,250)

The Mack Center on Technological Innovation, The Wharton School, University of Pennsylvania, 2001-02 (\$8,813)

Wharton-SMU Research Center, Singapore Management University, 2001-02 (\$37,500)

Reginald H. Jones Center for Management Policy, Strategy, and Organization, The Wharton School, University of Pennsylvania, 2000-01 (\$5,000)

The Mack Center on Technological Innovation, The Wharton School, University of Pennsylvania,

2000-01 (\$6,800)

International Centre for the Study of East Asian Development (Affiliated with the University of Pennsylvania) Grant Recipient, 2000-01 (¥1,500,000 = ~\$14,000)

Research Foundation Grant Recipient, University of Pennsylvania, 1999-00 (\$5,000)

Reginald H. Jones Center for Management Policy, Strategy, and Organization, The Wharton School, University of Pennsylvania, 1999-00 (\$4,000)

International Research Grant Recipient, The Wharton School, University of Pennsylvania, 1999 (\$10,000)

Reginald H. Jones Center for Management Policy, Strategy, and Organization, The Wharton School, University of Pennsylvania, 1998-99 (\$5,000)

University of California, Berkeley

Research Fellowship funded by Pacific Rim Research Grant, 1996-97

John M. Olin Foundation Research Assistant, 1996

Bradley Fellowship, 1995-97

B. Professional Awards

Ideas Worth Teaching Award, Aspen Institute, Business & Society Program 2020

Outstanding Reviewer Award, Academy of Management Review, 2020

Industry Studies Association Ralph Gomory Best Industry Studies Paper Award, 2019

Inducted as Fellow, Academy of International Business, June 2014

Best Paper, 2013 Strategic Management Society meeting, Atlanta GA

Nominated for Best Paper for Practical Implications at the 2013 Strategic Management meeting

Finalist, Best Paper for Practical Implications at the 2011 Strategic Management meeting

Finalist, Best Applied Paper in International Management (The Robert H. Schaffer Award) at the 2011 Academy of Management meeting, San Antonio TX.

Best Paper, 2010 Engineering Project Organization Conference, South Lake Tahoe, CA

Finalist, Aspen Institute's 2006 Faculty Pioneer Award (one of eight finalists out of ~100

nominees)

Bronze Prize (\$10,000) in International Finance Corporation / Financial Times 2006 Essay Competition on Topic of "Business and Development: The Private Path to Prosperity" (one of six prize winning essays out of over 500 submissions in total)

Outstanding Reviewer Award, International Management Division, Academy of Management, 2005 (one of 38 out of 656 reviewers so recognized)

First Runner Up, Haynes Prize for Outstanding Scholar in International Business under the age of 40 at 2005 Academy of International Business Annual Conference

Finalist, Best Paper at 2002 Academy of International Business Annual Conference

Finalist, Haynes Prize for Best Paper by a scholar under the age of 40 at 2002 Academy of International Business Annual Conference

Outstanding Reviewer Award, International Management Division, Academy of Management, 2000 (one of 8 out of 250 reviewers so recognized)

Richard N. Farmer Award for best dissertation in International Business, Academy of International Business, 1999

Finalist, The Free Press Doctoral Dissertation Award, Business Policy and Strategy Division, Academy of Management, 1999

Best Doctoral Student Paper, Telecommunications Policy Research Conference, 1998

C. University or School Awards

Wharton Teaching Excellence Award, AY 2020-21

Wharton Teaching Excellence Award, AY 2019-20

Wharton Iron Prof. 2019

Excellence in teaching award (electives), Wharton MBA Program, May 2015

Teaching Commitment and Curricular Innovation Award, Wharton MBA Program, May 2015

Nominee, Helen Kardon Moss Anvil Award, 2013

Excellence in teaching award (electives), Wharton MBA Program, May 2012

Nominee, Helen Kardon Moss Anvil Award, 2012

Teaching Commitment and Curricular Innovation Award, Wharton MBA Program, May 2011

Nominee, Helen Kardon Moss Anvil Award, 2011

Excellence in teaching award, Wharton Undergraduate Division, May 2008.

Faculty teaching award (electives), MBA for Executives Program, Class 31East, 2006-07.

Excellence in teaching award, Wharton Undergraduate Division, May 2007.

Faculty teaching award (electives), MBA for Executives Program, Class 28East, 2004-05.